



## **ACCOUNT OPENING FORM - JOINT ACCOUNT**

Before we can open an account for you (the “Client”), we are obliged by law to obtain certain information from you concerning your personal details. This information will enable us to determine whether our services are suitable for you, based on your investment objectives, financial circumstances, previous investment experience and expertise. In addition, this information is required in order to comply with the relevant money laundering regulations.

This form and the information set out in it are part of the Client Agreement between the Client and LANCE BANK Limited (“LANCE BANK”). This form constitutes the client’s offer to IKON to receive the services provided by LANCE BANK . LANCE BANK will confirm acceptance of this offer when its account opening procedures have been completed. LANCE BANK reserves to the right at its absolute discretion to decline to open any account without having to disclose any reason.

Please complete all sections of this form. If you have any queries or require any assistance please refer to your LANCE BANK representative or contact our office in London at the address shown.

### **Platform Information:**

#### Select products Platform:

- FX    Metals    Options    Futures    Currenex    NASDAQ Futures  
 NDF    CFD

### **1. INDIVIDUAL ADDRESS AND CONTACT DETAILS [First Applicant]**

Your name & address details



Name: .....

Address: .....

Nationality: ..... National Insurance No.: .....

Passport No.: .....

**Correspondence address (if different from your Residential Address)**

Street / No : .....

Town/City: .....

Post Code: ..... Country: .....

**Contact details**

Telephone: ..... Fax: .....

E- mail : .....

Preferred means of communication

Telephone  Fax  E-mail  Post

Preferred means for receipt of trading statements

Fax  E-mail  Post

**2. BANKING DETAILS [First Applicant]**

Please provide details of the bank account(s) that will be used to transfer funds to or from your account (use a



separate sheet for additional accounts, if required).

Please note that all funds deposited into your trading account must have been received from your own bank account, and all withdrawals from your trading account can only be sent to your own bank account. We cannot accept payments from any third party, and we cannot make payments from your trading account to any third party.

Name and Branch of Bank: .....  
Address: .....  
Postcode: .....Country: .....  
Account name: .....  
Account number: .....  
Swift / Sort Code: .....  
Currency: .....

### 3. FINANCIAL CIRCUMSTANCES [First Applicant]

**Please indicate your net worth and annual income.**

Annual Income: [ ] \$0 - \$0.25 million [ ] \$0.25 - \$1 million [ ] Over \$1 million

Net worth: [ ] \$0 - \$0.25 million [ ] \$0.25 - \$1 million [ ] Over \$1 million

### 4. INVESTMENT OBJECTIVES AND TRADING RESTRICTIONS [First Applicant]

Please indicate your primary investment objective.

Primary investment objective: [ ] Speculation [ ] Hedging

Please indicate which types of investments you wish to trade.

Financial Futures: [ ] Yes [ ] No

Commodity Futures: [ ] Yes [ ] No



Financial Options: [ ] Yes [ ] No  
Commodity Options: [ ] Yes [ ] No

Foreign Exchange: [ ] Yes [ ] No

Other (please specify): .....

**5. INVESTMENT EXPERIENCE AND UNDERSTANDING [First Applicant]**

Please indicate your previous experience and average frequency of dealing in relation to each of the following types of investments (choose one answer in each case).

**Financial Futures**

Length of experience: [ ] Less than 1 year [ ] 1-3 years [ ] More than 3 years  
Frequency of dealing: [ ] Daily [ ] Weekly [ ] Monthly [ ] Less frequently

**Commodity Futures**

Length of experience: [ ] Less than 1 year [ ] 1-3 years [ ] More than 3 years  
Frequency of dealing: [ ] Daily [ ] Weekly [ ] Monthly [ ] Less frequently

**Financial Options**

Length of experience: [ ] Less than 1 year [ ] 1-3 years [ ] More than 3 years  
Frequency of dealing: [ ] Daily [ ] Weekly [ ] Monthly [ ] Less frequently

**Commodity Options**

Length of experience: [ ] Less than 1 year [ ] 1-3 years [ ] More than 3 years  
Frequency of dealing: [ ] Daily [ ] Weekly [ ] Monthly [ ] Less frequently

**Cash Foreign Exchange / OTC Products**

Length of experience: [ ] Less than 1 year [ ] 1-3 years [ ] More than 3 years



Frequency of dealing:  Daily  Weekly  Monthly  Less frequently

**Typical deal size (Million of \$'s)**

Less than 1.00  Less than 5.00  Greater than 5.00

**6. WARRANTS & COVENANTS [First Applicant]**

**(a)** Are you currently or expected to be the defendant in any legal case (pending or otherwise) with another regulated entity(ies) over any unpaid sums however caused.

Yes  No (if YES please provide written details)

**(b)** Can you be classified as a “Politically Exposed Person” under Anti-Money Laundering principals.

Yes  No (if YES please provide written details)

**7. INDIVIDUAL ADDRESS AND CONTACT DETAILS [Second Applicant]**

Your name & address details

Name: .....

Address: .....

Nationality: : : ..... National Insurance No.: .....

Passport No.: .....

**Correspondence address (if different from your Residential Address)**

Street / No.: .....

Town/City: .....

Post Code: ..... Country: .....

**Contact details**

Telephone: ..... Fax: .....

E-mail: .....

Preferred means of communication:  Telephone  Fax  E-mail  Post

Preferred means for receipt of trading statements  Fax  E-mail  Post



**8. BANKING DETAILS [Second Applicant]**

Please provide details of the bank account(s) that will be used to transfer funds to or from your account (use a separate sheet for additional accounts, if required). Please note that all funds deposited into your trading account must have been received from your own bank account, and all withdrawals from your trading account can only be sent to your own bank account. We cannot accept payments from any third party, and we cannot make payments from your trading account to any third party.

Name and Branch of Bank: .....  
Address: .....  
Postcode: .....Country: .....  
Account name: .....  
Account number: .....  
Swift / Sort Code: .....  
Currency: .....

**9. FINANCIAL CIRCUMSTANCES [Second Applicant]**

**Please indicate your net worth and annual income.**

Annual Income: [ ] \$0 - \$0.25 million [ ] \$0.25 - \$1 million [ ] Over \$1 million  
Net worth: [ ] \$0 - \$0.25 million [ ] \$0.25 - \$1 million [ ] Over \$1 million

**10. INVESTMENT OBJECTIVES AND TRADING RESTRICTIONS [Second Applicant]**

Please indicate your primary investment objective.  
Primary investment objective: [ ] Speculation [ ] Hedging  
Please indicate which types of investments you wish to trade.:  
Financial Futures: [ ] Yes [ ] No  
Commodity Futures: [ ] Yes [ ] No  
Financial Options [ ] Yes [ ] No  
Commodity Options [ ] Yes [ ] No  
Foreign Exchange [ ] Yes [ ] No

Other (please specify): .....



## 11. INVESTMENT EXPERIENCE AND UNDERSTANDING [Second Applicant]

Please indicate your previous experience and average frequency of dealing in relation to each of the following types of investments (choose one answer in each case).

### Financial Futures

Length of experience: [  ] Less than 1 year [  ] 1-3 years [  ] More than 3 years

Frequency of dealing: [  ] Daily [  ] Weekly [  ] Monthly [  ] Less frequently

### Commodity Futures

Length of experience: [  ] Less than 1 year [  ] 1-3 years [  ] More than 3 years

Frequency of dealing: [  ] Daily [  ] Weekly [  ] Monthly [  ] Less frequently

### Financial Options

Length of experience: [  ] Less than 1 year [  ] 1-3 years [  ] More than 3 years

Frequency of dealing: [  ] Daily [  ] Weekly [  ] Monthly [  ] Less frequently

### Commodity Options

Length of experience: [  ] Less than 1 year [  ] 1-3 years [  ] More than 3 years

Frequency of dealing: [  ] Daily [  ] Weekly [  ] Monthly [  ] Less frequently

### Cash Foreign Exchange / OTC Products

Length of experience: [  ] Less than 1 year [  ] 1-3 years [  ] More than 3 years

Frequency of dealing: [  ] Daily [  ] Weekly [  ] Monthly [  ] Less frequently

### Typical deal size (Million of \$'s)

[  ] Less than 1.00 [  ] Less than 5.00 [  ] Greater than 5.00

## 12. WARRANTS & COVENANTS [Second Applicant]

(a) Are you currently or expected to be the defendant in any legal case (pending or otherwise) with another regulated



entity(ies) over any unpaid sums however caused.  
 Yes  No (if YES please provide written details)

**(b)** Can you be classified as a “Politically Exposed Person” under Anti-Money Laundering principals.  
 Yes  No (if YES please provide written details)

**13. BASE CURRENCY**

Please specify a base currency for our Agreement. If you do not select a base currency here, the base currency will be US Dollars.

Base Currency (choose one):  US Dollars  Euro  Pound Sterling

Print Name: .....

Signature: .....

Date: .....

Print Name: .....

Signature: .....

Date: .....